CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents Washington, D.C. 20231"

on March 27, 2003

GERARD J. MCGOWAN, JR.

Reg. No. 29,412 Attorney for Applicant(s) 03/27/02 Date of 500 Signature

化的原金

<u>PATENT</u> #01-0366-UNI Case #F7589(V)



_M \$ 4

COPY OF PAPERS ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bodor et al.

Serial No.:

10/072,580

Filed:

February 8, 2002

For:

FOOD PRODUCT SUITABLE FOR REDUCING LOW DENSITY

LIPOPROTEIN CHOLESTEROL LEVELS

Edgewater, New Jersey 07020 March 27, 2002

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the "Notice of Missing Parts of Application" (copy enclosed) mailed March 5, 2002, from the Patent and Trademark Office, enclosed is a Declaration signed by the inventors.

Please deduct the \$130.00 surcharge from Deposit Account 12-1155. Any deficiency or overpayment should be charged or credited to this Deposit Account. This authorization is submitted in triplicate.

Respectfully submitted,

Gérard J. McGowan, Jr.

Reg. No. 29,412

Attorney for Applicant(s)

GJM/mt (201) 840-2297

04C0 04-24-02 #3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

#01-0366-UNI Case #F7589(V)

"Assistant Commissioner for Patents, Washington, D.C. 20231" COPY OF PAPERS ORIGINALLY FILED

March/27, 2002

GERARD J. MCGOWAN

Reg. No. 29,412 Attorney for Applicant(s) 03/27/02 Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bodor et al.

Serial No.:

10/072,580

Filed:

February 8, 2002

For:

FOOD PRODUCT SUITABLE FOR REDUCING LOW DENSITY

LIPOPROTEIN CHOLESTEROL LEVELS

Edgewater, New Jersey 07020 March 27, 2002

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants' attorney has received a copy of the Official Filing Receipt for the above-identified application and has noted the following errors:

Please amend the fourth applicant's name to read as follows:

-EELKO GERBEN TER SCHURE-.

Please amend the title to read as follows:

-FOOD PRODUCT SUITABLE FOR REDUCING LOW DENSITY LIPOPROTEIN CHOLESTEROL LEVELS--.

Support for these changes can be found in the attached copy of the executed declaration.

In the event there is a fee for this Patent Office error, please charge our Deposit Account 12-1155.

Applicants look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,

Gerard J. McGowan

Reg. No. 29,412

Attorney for Applicant(s)

GJM/mt (201) 840-2297





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRACEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D

ATTY.DOCKET.NO

O DRAWINGS

TOT CLAIMS

IND CLAIMS

10/072,580

02/08/2002

1761

740

F7589(V)

10

2

00201 UNILEVER PATENT DEPARTMENT 45 RIVER ROAD EDGEWATER, NJ 07020



CONFIRMATION NO. 1805

FILING RECEIPT

OC00000007579500

Date Mailed: 03/05/2002

Receipt is acknowledged of this nonprevisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Janos Bodor, Vlaardingen, NETHERLANDS;
Gijsbertus Johannes Van Oorschot, Vlaardingen, NETHERLANDS;
Mario Jorge Santos Da Silvo, Vlaardingen, NETHERLANDS;
Eelco Ter Schure, Vlaardingen, NETHERLANDS;
Elke Trautwein, Vlaardingen, NETHERLANDS;

· elko Gerhen

Assignment For Published Patent Application

Unilever Bestfoods North America, Division of Conopco, Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 01200493.3 02/09/2001 EUROPEAN PATENT OFFICE (EPO) 01200489.1 02/09/2001

If Required, Foreign Filing License Granted 03/05/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Food product suitable for reducing low desity lipoprotein cholesterol levels

Preliminary Class

426

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

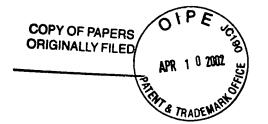
ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT FILING/RECEIPT DATE APPLICATION NUMBER F7589(V)

10/072,580

02/08/2002

Janos Bodor

00201 UNILEVER PATENT DEPARTMENT **45 RIVER ROAD EDGEWATER, NJ 07020**



CONFIRMATION NO. 1805 FORMALITIES LETTER OC000000007579501°

Date Mailed: 03/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2002 YPOLITE1 00000011 121155 10072580

01 FC:105

130.00 CH